



01/02/01

FORM PTO-1082

Case Docket No. 23803-250394

Date: January 2, 2001

Express Mail Label No. EK 327 380 946 US

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **JONATHAN L. LEI**For: **SELF-CONTAINED BUSINESS TRANSACTION CAPSULES**

JC654 U.S. PTO
09/753093
01/02/01

Enclosed are:

- ☒ 7 Sheet(s) of drawings (☒ informal)
☒ An assignment of the invention to WARP 9 INC.
☐ An associate power of attorney.
☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
☒ Declaration and Power of Attorney.
☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 USC §119.
☒ IDS enclosed. 2 with references.
☐ Preliminary Amendment

CALCULATION OF FEES							
ITEM		NO. OF CLAIMS FILED MINUS BASE*		NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	70	- 20* =	50	X \$9 or X \$18	\$450	
B	INDEPENDENT CLAIMS FEE**	5	- 3* =	2	X \$40 or X 80	\$80	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$530
D	SMALL ENTITY FEE = \$135 MULTIPLE-DEPENDENT CLAIMS FEE LARGE ENTITY FEE = \$270						\$
E	SMALL ENTITY FEE = \$355 BASIC FEE*LARGE ENTITY FEE = \$710						\$355
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$885
F	ASSIGNMENT RECORDING FEE \$ 40						\$40
	**LIST INDEPENDENT CLAIMS 1, 13, 32, 45 and 59						

☐ Please charge my Deposit Account No. 16-1805 the amount of \$0

A copy of this letter is enclosed.

☒ A check in the amount of \$885

to cover the filing fee is enclosed.

☒ A check in the amount of \$40

to cover Assignment Recordation fee is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-1805.

X Any additional filing fees required under 37 CFR 1.16.

X Any patent application processing fees under 37 CFR 1.17.

— The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-1805.

— Any patent application processing fees under 37 CFR 1.17.

— The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

— Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

Date: January 2, 2001



Eric S. Chen

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
JONATHAN L. LEI) Art Unit:
Serial No:) Examiner:
Filed: January 2, 2001)
For: SELF-CONTAINED BUSINESS)
TRANSACTION CAPSULES)

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL**"Express Mail" Mailing Label No. EK 327 380 946 US****Date of Deposit: January 2, 2001**

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

☒ Letter of transmittal
☒ check in amount of \$ 885 as filing fee
☒ patent application (28 pages of specification; 70 claims; 1 pages of abstract
☒ 7 sheet(s) of informal drawings
☒ executed Declaration and Power of Attorney
☒ executed Assignment, with Recordation Cover Letter and check in amount of \$40
☐ Preliminary Amendment
☒ Information Disclosure Statement with 2 references
☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231.

January 2, 2001

Date of Deposit

Cynthia Belton

Name of person mailing papers

Cynthia Belton
Signature